

PAYNE & FEARS LLP
Attorneys at Law
James T. Conley, Bar No. 224174
One Embarcadero Center, Suite 2300
San Francisco, CA 94111
Telephone: (415) 398-7860
Facsimile: (415) 398-7863

PAYNE & FEARS LLP
Attorneys at Law
Andrew J. Jarmillo, Bar No. 198303
4 Park Plaza, Suite 1100
Irvine, CA 92614
Telephone: (949) 851-1100
Facsimile: (949) 851-1212

Attorneys for Defendant
HOME DEPOT U.S.A., INC.

**UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA**

RICK HUERTA,

Plaintiff,

v.

HOME DEPOT, U.S.A., INC., a Delaware
corporation doing business in California and
DOES 1-25

Defendants.

CASE NO. C 07 3520 PJH

(San Mateo County Superior Court Case No.
CIV 463295)

**DECLARATION OF JAMES T. CONLEY
RE:**

**(1) SERVICE OF NOTICE OF REMOVAL
UPON PLAINTIFF**

**(2) FILING OF NOTICE OF REMOVAL
AND NOTICE TO PLAINTIFF IN SAN
MATEO COUNTY SUPERIOR COURT**

(3) FILING OF PROOFS OF SERVICE

Date Action Filed: May 25, 2007
Trial Date: None set

PAYNE & FEARS LLP
ATTORNEYS AT LAW
ONE EMBARCADERO CENTER, SUITE 2300
SAN FRANCISCO, CA 94111
(415) 398-7860

1
2
3 I, James T. Conley, declare as follows:
4

5 1. I am an attorney duly licensed to practice before this Court and before all of
6 the courts of the State of California, and I am an associate at the law firm of Payne & Fears LLP,
7 counsel of record for defendant Home Depot U.S.A., Inc. (erroneously sued as "The Home Depot,
8 Inc.") (hereinafter "Defendant") in this action. I have personal knowledge of the matters set forth
9 in this declaration and, if called as a witness, could and would testify competently thereto.
10

11 2. On July 5, 2007, I caused Defendant's Petition and Notice of Removal of
12 Civil Action under 28 U.S.C. §§ 1332 and 1441(b) (the "Notice of Removal") to be filed in this
13 Court. On July 5, 2007, after filing the Notice of Removal in this Court, I caused Defendant's
14 Notice to Clerk of the San Mateo County Superior Court of Removal of Civil Action to United
15 States District Court for the Northern District of California (the "Notice to Clerk") to be filed in
16 the San Mateo County Superior Court, where this action had been pending. A copy of the Notice
17 of Removal was attached as Exhibit "A" to the Notice to Clerk. A true and correct copy of the
18 Notice to Clerk, file-stamped by the San Mateo County Superior Court, is attached as Exhibit "A"
19 hereto.
20

21 3. Attached hereto as Exhibit "B" is the Notice to Plaintiff of Removal of Civil
22 Action to United States District Court for the Northern District of California.
23

24 4. Attached hereto as Exhibit "C" is a Proof of Service of the Notice of
25 Removal upon Plaintiff.
26

27 5. Attached hereto as Exhibit "D" is a Proof of Service of the Notice to Clerk
28 upon Plaintiff.

/s/

JAMES T. CONLEY

361523.1

EXHIBIT A

ENDORSED FILED
SAN MATEO COUNTY

JUL - 6 2007

Clerk of the Superior Court
By F. MORNEAU
DEPUTY CLERK

PAYNE & FEARS LLP
Attorneys at Law
James T. Conley, Bar No. 224174
One Embarcadero Center, Suite 2300
San Francisco, CA 94111
Telephone: (415) 398-7860
Facsimile: (415) 398-7863

PAYNE & FEARS LLP
Attorneys at Law
Andrew J. Jarmillo, Bar No. 198303
4 Park Plaza, Suite 1100
Irvine, CA 92614
Telephone: (949) 851-1100
Facsimile: (949) 851-1212

Attorneys for Defendant
HOME DEPOT U.S.A., INC.

SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF SAN MATEO

RICK HUERTA,

Plaintiff,

v.

HOME DEPOT, U.S.A., INC., a Delaware
corporation doing business in California and
DOES 1-25

Defendants.

CASE NO. CIV 463295

**NOTICE TO CLERK OF THE SAN
MATEO COUNTY SUPERIOR COURT OF
REMOVAL OF CIVIL ACTION TO
UNITED STATES DISTRICT COURT FOR
THE NORTHERN DISTRICT OF
CALIFORNIA**

Date Action Filed: May 25, 2007
Trial Date: None set

Exhibit A, Pg. 2

1 **TO THE CLERK OF THE SUPERIOR COURT FOR THE COUNTY OF**
2 **SAN MATEO:**

3
4 PLEASE TAKE NOTICE that on July 5, 2007, defendant Home Depot U.S.A., Inc.
5 (erroneously sued as "The Home Depot") filed in the United States District Court for the Northern
6 District of California their Petition and Notice of Removal of Civil Action. Copies of the Petition
7 and Notice and related documents are attached as Exhibit "A" for filing with the San Mateo
8 County Superior Court.

9
10 DATED: July 5, 2007

PAYNE & FEARS LLP

11
12 By: 

13 JAMES T. CONLEY

14 Attorneys for Defendant
15 HOME DEPOT U.S.A., INC.
16
17
18
19
20
21
22
23
24
25
26
27
28

Exhibit A, Pg. 3

INDEX OF EXHIBITS

EXHIBIT "A": NOTICE OF REMOVAL OF CIVIL ACTION UNDER
28 U.S.C. §§ 1332 AND 1441(b)

361506.1

Exhibit A, Pg. 4

EXHIBIT A

07 JUL -5 PM 11:24
FILED
RICHARD W. WIEKING
CLERK, U.S. DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

PAYNE & FEARS LLP
Attorneys at Law
James T. Conley, Bar No. 224174
One Embarcadero Center, Suite 2300
San Francisco, CA 94111
Telephone: (415) 398-7860
Facsimile: (415) 398-7863

PAYNE & FEARS LLP
Attorneys at Law
Andrew J. Jarmillo, Bar No. 198303
4 Park Plaza, Suite 1100
Irvine, CA 92614
Telephone: (949) 851-1100
Facsimile: (949) 851-1212

Attorneys for Defendant
HOME DEPOT U.S.A., INC.

PJH

UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

RICK HUERTA,

Plaintiff,

v.

HOME DEPOT, U.S.A., INC., a Delaware
corporation doing business in California; and
DOES 1-25

Defendants.

C 07 3520

CASE NO.

(San Mateo County Superior Court Case No.
CIV 463295)

**PETITION AND NOTICE OF REMOVAL
OF CIVIL ACTION UNDER 28 U.S.C.
SECTIONS 1332 AND 1441(b)**

TO THE UNITED STATES DISTRICT COURT FOR THE NORTHERN
DISTRICT OF CALIFORNIA AND TO PLAINTIFF RICK HUERTA AND HIS COUNSEL OF
RECORD:

PLEASE TAKE NOTICE that defendant Home Depot U.S.A., Inc. ("Home
Depot") removes this action from the Superior Court of the State of California for the County of
San Mateo to the United States District Court for the Northern District of California on the
following grounds:

Exhibit A, Pg. 6

JURISDICTION

1
2
3 1. This Court has jurisdiction over this action because complete diversity
4 exists under 28 U.S.C. § 1332 and 28 U.S.C. § 1441(b). Defendant Home Depot is a Delaware
5 corporation with its principal places of business in Atlanta, Georgia. Home Depot is informed
6 and believes that Plaintiff Rick Huerta (hereinafter "Plaintiff") resides in the State of California.
7 Accordingly, Home Depot seeks to remove this action as detailed below.
8

INTRADISTRICT ASSIGNMENT

9
10
11 2. This matter should be assigned to the San Francisco Division of the United
12 States District Court for the Northern District of California because a substantial part of the events
13 or omissions which give rise to the claim occurred in San Mateo County. Civil L.R. 3-2(c), (d).
14

THE STATE COURT ACTION

15
16
17 3. On or about May 25, 2007, Plaintiff filed an action against Home Depot
18 entitled "RICK HUERTA, Plaintiff vs. HOME DEPOT U.S.A. INC., a Delaware Corporation
19 doing business in California, and DOES 1-25, Defendants" in the San Mateo County Superior
20 Court, Case Number CIV 463295 (the "State Court Action"). On or about June 9, 2007, Home
21 Depot first learned of the State Court Action when its legal department received notification from
22 Corporation Service Company ("CSC") that it had accepted personal service of the Complaint on
23 Home Depot's behalf. A true and correct copy of the Complaint is attached as Exhibit "A" hereto.
24

25 4. On July 5, 2007, Home Depot timely filed and served its Answer to
26 Plaintiff's Complaint in the San Mateo County Superior Court. A true and correct copy of Home
27 Depot's Answer is attached as Exhibit "B" hereto.
28

Exhibit A, Pg. 7

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112
113
114
115
116
117
118
119
120
121
122
123
124
125
126
127
128
129
130
131
132
133
134
135
136
137
138
139
140
141
142
143
144
145
146
147
148
149
150
151
152
153
154
155
156
157
158
159
160
161
162
163
164
165
166
167
168
169
170
171
172
173
174
175
176
177
178
179
180
181
182
183
184
185
186
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315
316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335
336
337
338
339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361
362
363
364
365
366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388
389
390
391
392
393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411
412
413
414
415
416
417
418
419
420
421
422
423
424
425
426
427
428
429
430
431
432
433
434
435
436
437
438
439
440
441
442
443
444
445
446
447
448
449
450
451
452
453
454
455
456
457
458
459
460
461
462
463
464
465
466
467
468
469
470
471
472
473
474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497
498
499
500
501
502
503
504
505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520
521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551
552
553
554
555
556
557
558
559
560
561
562
563
564
565
566
567
568
569
570
571
572
573
574
575
576
577
578
579
580
581
582
583
584
585
586
587
588
589
590
591
592
593
594
595
596
597
598
599
600
601
602
603
604
605
606
607
608
609
610
611
612
613
614
615
616
617
618
619
620
621
622
623
624
625
626
627
628
629
630
631
632
633
634
635
636
637
638
639
640
641
642
643
644
645
646
647
648
649
650
651
652
653
654
655
656
657
658
659
660
661
662
663
664
665
666
667
668
669
670
671
672
673
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727
728
729
730
731
732
733
734
735
736
737
738
739
740
741
742
743
744
745
746
747
748
749
750
751
752
753
754
755
756
757
758
759
760
761
762
763
764
765
766
767
768
769
770
771
772
773
774
775
776
777
778
779
780
781
782
783
784
785
786
787
788
789
790
791
792
793
794
795
796
797
798
799
800
801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825
826
827
828
829
830
831
832
833
834
835
836
837
838
839
840
84

COMPLETE DIVERSITY EXISTS BETWEEN THE PARTIES

6. Home Depot is informed and believes that Plaintiff is a citizen of the State of California and was a citizen of the State of California at the time of the filing of the Complaint.

7. Home Depot was incorporated in the State of Delaware.

8. Home Depot's principal place of business is in the State of Georgia. Home Depot is one of the leading retailers in the home-improvement industry and operates warehouses throughout the United States. As a national corporation that conducts business in 49 states, Home Depot's business activities do not substantially predominate in a single state by performing more business in that state. *Arellano v. Home Depot U.S.A., Inc.*, 245 F. Supp. 2d 1081 (S.D. Cal. 2003)(denying motion to remand, holding that Home Depot was a citizen of the State of incorporation) and Georgia (principal place of business), and complete citizenship existed between it and plaintiff, a California citizen). Accordingly, Home Depot cannot perform a "substantial predominance" of corporate operations in any single state. See *Chemical v. American Motorists Ins. Co.*, 117 F.3d 1128, 1134 (9th Cir. 1997) (holding that a corporation's principal place of business is the state in which it performs a substantial predominance of its corporate operations and, when no state contains a substantial portion of the corporation's business activities, then the corporation's principal place of business is the state in which the corporation performs its executive and administrative functions). Home Depot performs the vast majority of its executive and administrative functions at its headquarters located in Atlanta, Georgia.

1 9. If a party is a corporation, it is a citizen of both its state of incorporation and
2 the state where it has its principal place of business. 28 U.S.C. § 1332(c)(1). Accordingly, Home
3 Depot is (and was at the time of filing of the Complaint) a citizen of the States of Delaware and
4 Georgia.

5
6 10. Complete diversity among the parties exists because Plaintiff is a citizen of
7 the State of California, Home Depot is a citizen of the States of Delaware and Georgia.

8
9 **THE AMOUNT IN CONTROVERSY EXCEEDS THE JURISDICTIONAL MINIMUM**

10
11 11. This Court's jurisdictional minimum, an amount in controversy in excess of
12 \$75,000, was satisfied at the time of the filing of this action, and still is satisfied, by the facts set
13 forth herein and more specifically described below. Home Depot discusses below the allegations
14 in Plaintiff's Complaint that are the subject of this matter solely to demonstrate that the amount in
15 controversy in this matter exceeds \$75,000.00. In doing so, Home Depot does not admit that
16 Plaintiff is entitled to these damages or that Plaintiff will be able to recover on any of his theories.

17
18 12. The Court may, for removal purposes, look to the removal papers for
19 underlying facts establishing the jurisdictional limit. (*Gaus v. Miles, Inc.*, 980 F.2d 564, 566 (9th
20 Cir. 1992).) Here, Plaintiff has pled (albeit speciously) for the recovery of punitive damages. (See
21 Complaint, Prayer, 5.) "Where both actual and punitive damages are recoverable under a
22 complaint each must be considered to the extent claimed in determining jurisdictional amount."
23 (*Bell vs. Preferred Life Assurance Society*, 320 U.S. 238, 240, 64 S.Ct. 5, 88 L.Ed. 15 (1943).)
24 Finally (and again, speciously), Plaintiff has pled for the recover of attorneys' fees that he
25 allegedly will incur as a result of Home Depot's alleged conduct. (See Complaint, Prayer, 8.) If
26 attorneys' fees are potentially recoverable by Plaintiff, the fee claim is included in determining the
27 amount in controversy. (*Goldberg v. CPC Int'l, Inc.*, 678 F.2d 1365, 1367 (9th Cir. 1982).)
28 Therefore it is factually apparent from the body of the Complaint that the claim exceeds the

jurisdictional amount. (*See White v. FCI USA, Inc.*, 319 F.3d 672, 674 (5th Cir. 2003) (Removal upheld in wrongful termination case where, despite the fact that the Complaint failed to specify damages sought, "lengthy list of compensatory and punitive damages" and claim for attorneys' fees made it facially apparent that amount in controversy exceeded \$75,000).)

13. As demonstrated above, numerous cumulative and alternative bases exist to establish that the damages sought by Plaintiff exceed the Court's jurisdictional minimum. As the alleged damages far exceed this Court's jurisdictional limit and as the parties are of diverse citizenship, removal is proper.

REMOVAL IS TIMELY

14. This action is being removed within 30 days of the point at which Home Depot was served with the "initial pleading" setting forth Plaintiff's claims for relief. 28 U.S.C. § 1446(b). Plaintiff's Complaint was served on June 9, 2007. Accordingly, Home Depot is removing this action in a timely manner.

CONCLUSION

Because this civil action is between citizens of different states and the matter in controversy exceeds \$75,000, exclusive of interests and costs, this Court has original jurisdiction over it, and Home Depot may remove it to this Court pursuant to 28 U.S.C. §§ 1332 and 1441(b). Home Depot respectfully requests that this Court exercise its removal jurisdiction over this action.

1 DATED: July 5, 2007

PAYNE & FEARS LLP

2
3 By: 

4 JAMES T. CONLEY

5 Attorneys for Defendant
6 HOME DEPOT U.S.A., INC.

7
8 361500.1
9

10
11
12 ATTORNEYS AT LAW
ONE EMBARCADERO CENTER, SUITE 2300
SAN FRANCISCO, CA 94111
(415) 398-7860
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

Exhibit A, Pg. 11

EXHIBIT A

1473.172



COPY

1 UNITED EMPLOYEES LAW GROUP, P.C.
 2 Walter L. Haines, Esq. SBN 71075
 3 Thomas K. Emmitt, SBN 207504
 4 65 Pine Ave., Suite 312
 Long Beach, CA 90802
 Tel: (562) 256-1047
 Fax: (562) 256-1006

5 Attorneys for Plaintiff
 6 RICK HUERTA

ENDORSED FILED
 SAN MATEO COUNTY
 MAY 25 2007

Clerk of the Superior Court
 BY DANIEL SHEA
 DEPUTY CLERK

7 SUPERIOR COURT OF THE STATE OF CALIFORNIA
 8 COUNTY OF SAN MATEO

CV463295

10 RICK HUERTA,

11 Plaintiff,

12 vs.

14 HOME DEPOT U.S.A. INC. a Delaware
 15 corporation doing business in California;
 and DOES 1-25,

16 Defendant(s).

Case No

COMPLAINT FOR DAMAGES AND
 STATUTORY PENALTIES

1. Failure to Pay Overtime
2. Waiting Time Penalties [Lab.C. § 203]
3. Failure to Provide Accurate Itemized Statements [Lab. Code § 226]
4. Failure to Provide Meal Periods [Lab.C. §§ 226.7, 512, and IWC Wage Orders]
5. Failure to Provide Rest Periods [Lab.C. § 226.7 and IWC Wage Orders]
6. Unfair Competition [B&PC § 17200-*et seq.*]
7. Failure to Produce Records [Lab.C. § 1174.5]
8. Constructive Trust

22 COMES NOW Plaintiff RICK HUERTA (hereinafter referred to as "HUERTA" or "Plaintiff"),
 23 an individual, and complains and alleges against the above-named Defendants, and each of them, for
 24 causes of action as follows:

25 ALLEGATIONS COMMON TO ALL CAUSES OF ACTION

26 1. Plaintiff brings this action against Defendants THE HOME DEPOT, INC., and DOES 1
 27 through 25, inclusive, for statutory damages, injunctive relief, prejudgment interest, costs, attorney's
 28 fees, restitution, Labor Code penalties, and other appropriate relief for Defendants' violations of various

1 sections of the California Labor Code, Industrial Welfare Commission Wage Orders, and Business &
 2 Professions Code §§ 17200-*et seq.* Jurisdiction is proper in this Court because alleged damages exceed
 3 \$25,000.00 and Plaintiff seeks a permanent injunction according to Code of Civil Procedure
 4 § 580(b)(2). 2. At all times mentioned herein, Plaintiff HUERTA was a resident of SAN MATEO
 5 County, State of California. HUERTA is a former employee of Defendants, and each of them.

6 3. Plaintiff is informed and believes, and on that basis allege, that at all times mentioned
 7 herein, Defendant THE HOME DEPOT, INC. (hereinafter referred to as "HOME DEPOT"
 8 individually, or collectively as "Defendants") was and is a business corporation with its principal place
 9 of business located at 2 COLMA BLVD., COLMA, CA 94014, in the County of SAN MATEO, State
 10 of California. 4. Plaintiff is informed and believes, and thereon alleges, that Defendants,

11 directly employed or exercised control over Plaintiff's wages, hours, and/or working conditions; it
 12 failed and refused to pay, among other things, overtime compensation; it paid Plaintiff without accurate
 13 itemized wage statements; and it failed to authorize and/or permit rest periods and uninterrupted meal
 14 periods pursuant to Labor Code §§ 226.7 and 512, and all IWC Wage Orders applicable to Plaintiff's
 15 industry and occupation.

16 5. Plaintiff is informed and believes and thereon alleges, that at all times relevant herein,
 17 Defendant HOME DEPOT and some of DOES 1 through 25 named herein, whether in their individual
 18 capacity or as a "Doe" Defendant, was at all times relevant to each Plaintiff's claims herein the agent,
 19 employee, servant, master, and/or employer of each and every other Defendant, however named, and in
 20 doing the things herein alleged, were acting within the course and scope of such agency or employment,
 21 and with the approval and ratification of each of the other Defendants.

22 6. Plaintiff is ignorant of the true names and capacities of defendants sued herein as DOES 1
 23 through 25, inclusive, and therefore sues said Defendants by such fictitious names and capacities.
 24 Plaintiff will amend this complaint to show said Defendants' true names and capacities when they have
 25 been ascertained. Plaintiff is informed and believes and thereon allege that at all times relevant, each
 26 of said fictitiously named DOE defendants, was a business entity or individual person who owned,
 27 controlled, or managed the business for which Plaintiff worked, and/or who directly or indirectly
 28 exercised operational control over Plaintiff's wages, hours, and working conditions. Plaintiff further

1 alleges that each and every one of said Doe Defendants held ownership, officer, director and/or
2 executive positions with the remaining Defendants, and acted on behalf of the remaining Defendants,
3 which included decision-making responsibility for, and establishment of, illegal payroll practices and
4 policies for Defendants which have damaged Plaintiff in individual and separate amounts. Thus, all
5 Defendants herein, whether named in this Complaint or named as DOES 1 through 25, were and are
6 "employers" as a matter of law, and each is individually liable on all causes of action alleged herein.

7 7. Plaintiff is informed and believes, and thereon alleges, that each and every Defendant herein
8 is, and at all times relevant hereto was, a person, corporation or other business entity existing and
9 operating within the confines of the State of California, and thus subject to the jurisdiction of the
10 California courts by reason of "minimum contacts" in California, and/or by purposeful availment of the
11 California market for labor of the kind provided by Plaintiff, and did transact and conduct business in
12 the State of California, and are thus subject to the jurisdiction of all laws, regulations and court
13 decisions rendered by the State of California. Specifically, Defendants, and each of them, DOES 1
14 through 25, maintain offices, operate businesses, employ persons, conduct business in, and pay
15 employees by illegal payroll practices and policies in the County of SAN MATEO.

16 8. Plaintiff is further informed and believes, and thereon alleges, that each and every Defendant
17 herein aided and assisted the other Defendants in committing the wrongful acts alleged herein, and that
18 Plaintiff's damages were proximately caused by each and every Defendant herein.

19 9. Plaintiff is informed and believes, and thereon alleges, that at all times herein mentioned,
20 each of the Doe Defendants herein was in some fashion, by contract or otherwise, the successor, assign,
21 joint venturer, co-venturer, indemnitor, guarantor, partner or third-party beneficiary of one or more of
22 the remaining Defendants, and all times relevant to Plaintiff's claim alleged herein, was acting within
23 that capacity. Plaintiff further alleges that each and every Defendant to some degree and extent
24 wrongfully received and/or wrongfully benefitted from the flow of assets from the other Defendants, to
25 the detriment of Plaintiff, and each of them, the rightful owners of the pay denied them, and that such
26 equities exist to merit this Court imposing restrictive orders, including without limitation a constructive
27 trust on such assets in the control of Defendants, or any of them, or their agents or anyone acting on
28 their behalf, as Plaintiff may trace to the wrongful recipient(s) and/or beneficiary(ies) of said funds.

1 10. As a direct and proximate result of the unlawful acts of Defendants, Plaintiff has suffered
2 and continues to suffer from loss of earnings in amounts as yet unascertained, but subject to proof at
3 trial.

4 11. HUERTA was employed as an Assistant Manager and Co-Manager with Defendants, and
5 each of them, continuously from on or about JUNE 19, 1999 until on or about JULY 21, 2005.
6 HUERTA's principal job duties consisted of setting up merchandise displays, stocking and restocking
7 inventory, handling shipping and receiving, and uploading merchandise.

8 12. At all times relevant herein, Plaintiff was entitled to an hourly rate of pay of approximately
9 \$30.29 - \$33.65 per hour.

10 13. Under Wage Orders established by the Department of Labor Standards and Enforcement
11 ("D.L.S.E."), HUERTA does not qualify as an "exempt employee", and therefore, his employment is
12 subject to all appropriate laws with respect to a non-exempt employee who is entitled to an hourly wage
13 and therefore, also entitled to overtime wages.

14 14. HUERTA routinely worked in excess of eight (8) hours per day and forty (40) hours per
15 week, all without receiving proper wages, any overtime or double-time pay.

16 15. HUERTA was consistently paid incorrectly by Defendants, because they failed to pay
17 HUERTA his proper wages, for all hours worked, and for all applicable overtime hours to which he was
18 entitled.

19 16. At all times relevant herein, the Industrial Welfare Commission ("IWC") Wage Orders, as
20 amended (8 Cal.Code Regs. § 11050), applied to wages, hours, and working conditions of all persons
21 such as Plaintiff, and all of Plaintiff's co-workers employed by Defendants, and each of them.

22 17. As a general rule, Defendants routinely denied Plaintiff herein a ten (10) minute rest break
23 in the morning, and a ten (10) minute rest break in the afternoon, and/or a rest or meal break for every
24 four (4) hours Plaintiff worked.

25 18. As a general rule, Plaintiff was prevented from taking a thirty (30) minute meal break after
26 working for over five (5) hours, and/or again after working over ten (10) hours in the same day,
27 contravening provisions of the Labor Code.

28 19. Throughout HUERTA's employment, Defendants and each of them failed to provide

1 Plaintiff with accurate itemized wage statements showing the correct number of hours worked by
 2 HUERTA at the effective regular and overtime rates of pay applicable to those hours worked.

3 20. On MARCH 30, 2007, Plaintiff by certified mail served notice of the violations alleged in
 4 this complaint, in the manner required by Labor Code § 2699.3, on the California Labor & Workforce
 5 Development Agency, 801 K Street, Suite 2101, Sacramento, CA 95814 (hereinafter the "LABOR
 6 BOARD." Said notice was also served by certified mail on Defendants, at Defendants' last known
 7 address(es). Upon the expiration of thirty-three (33) days or more following the sending of the notice,
 8 and neither of the Plaintiff herein, nor Plaintiff's counsel having received notice from the LABOR
 9 BOARD that said agency was accepting Plaintiff's claim for prosecution, Plaintiff alleges the right to
 10 proceed with all remedies provided by law, including in addition to any compensatory damages
 11 awarded on Plaintiff's complaint herein, any and all statutory penalties which are collectible by Plaintiff
 12 under the provisions of Labor Code §§ 2698-2699.5, commonly known as the Labor Code Private
 13 Attorney General Act of 2004.

14 CLAIMS FOR RELIEF

15 FIRST CAUSE OF ACTION

16 (Failure to Pay Overtime – Against All Defendants)

17 21. Plaintiff realleges and incorporates by reference under this cause of action each and every
 18 allegation made in each and every paragraph hereinabove, as if fully set forth hereunder.

19 22. Pursuant to Labor Code §§ 510, 558, 1194, and related statutes, and also pursuant to all
 20 IWC Wage Orders applicable to Plaintiff's industry and occupation, Defendants were required to
 21 compensate Plaintiff for all overtime actually worked, at the rate of one and one-half (1 ½) times the
 22 regular rate of pay for hours worked in excess of forty (40) hours per week.

23 23. Pursuant to Labor Code §§ 510, 558, 1194, and related statutes, and also pursuant to all
 24 IWC Wage Orders applicable to Plaintiff's industry and occupation, beginning on JUNE 19, 1999, and
 25 continuing until the date Plaintiff ceased to be employed by Defendants, or any of them, Defendants and
 26 each of them were required to compensate Plaintiff for all overtime actually worked, which is
 27 calculated according to law for all hours worked in excess of eight (8) hours per day, 40 hours per week
 28

1 or on specific days of the week, as shall be shown at the time of trial.

2 24. Plaintiff was a nonexempt employee entitled to the protections of the California Labor
3 Code, and all IWC Wage Orders applicable to Plaintiff's industry and occupation. During the course of
4 HUERTA's employment, Defendants, and each of them, failed to compensate Plaintiff HUERTA for
5 overtime hours worked in excess of eight (8) hours per day and/or forty (40) hours per week and
6 double-time hours for hours worked in excess of twelve (12) hours per day, as required under the
7 aforementioned labor regulations.

8 25. Beginning on or about JUNE 19, 1999, pursuant to Labor Code §§ 200, 510, 1194, and
9 1198, and all IWC Wage Orders applicable to Plaintiff's industry and occupation, Defendants were
10 required to compensate Plaintiff with premium pay for all overtime work performed, for hours worked
11 in excess of eight (8) per day and/or forty (40) hours per week and for the first eight (8) hours on the
12 seventh (7th) consecutive day of any work week, and double time after twelve (12) hours in any single
13 workday and/or after eight (8) hours on the seventh (7th) consecutive day of any work week.

14 26. At all times relevant herein, Labor Code § 1194(a) provided that an employee, in the
15 position of HUERTA, who had not been paid overtime compensation could recover the unpaid balance
16 of the full amount of overtime wages due, including interest thereon, together with reasonable
17 attorney's fees and costs of suit.

18 27. Within the last four (4) years preceding the filing of this complaint, Defendants have
19 employed Plaintiff HUERTA as an Assistant Manager and Co-Manager.

20 28. Throughout Plaintiff's respective employment, Defendants have failed and refused to pay
21 and properly calculate overtime compensation to Plaintiff as required by law.

22 29. As a direct and proximate result of Defendants' willful, knowing, and intentional failure to
23 pay overtime wages, HUERTA has suffered and continues to suffer wage losses in a sum according to
24 proof.

25 30. Plaintiff has incurred and continue(s) to incur legal expenses and attorney's fees. Plaintiff is
26 entitled to legal expenses and attorney's fees pursuant to California Labor Code § 1194(a), in sums
27 according to proof.
28

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

SECOND CAUSE OF ACTION

(Waiting Time Penalties - Cal. Lab. Code §§ 203 et seq. - Against All Defendants)

31. Plaintiff realleges and incorporates by reference under this cause of action each and every allegation made in each and every paragraph hereinabove, as if fully set forth hereunder.

32. Plaintiff HUERTA ceased being employed by Defendants, on or about JULY 21, 2005, whereby all monies owed must be paid, pursuant to statute (Labor Code §§201(a) and 227.3), to HUERTA within the time prescribed by law.

33. To date, Plaintiff HUERTA has still not been paid in full for, but not limited to: wages owed, overtime or double-time owed.

34. Defendants have willfully failed to pay Plaintiff all monies owed to Plaintiff.

35. As a result of these failures to pay, Plaintiff is individually entitled to waiting time penalties pursuant to Labor Code § 203, for a period of thirty (30) days.

36. Plaintiff have each incurred, and continue to incur, legal expenses and attorney's fees. Plaintiff are entitled to legal expenses and attorney's fees, pursuant to Labor Code §218.5, in a sum according to proof.

THIRD CAUSE OF ACTION

(For Violation of Labor Code § 226 - Failure to Provide Accurate Itemized Statements
Against All Defendants)

37. Plaintiff realleges and incorporates by reference under this cause of action each and every allegation made in each and every paragraph hereinabove, as if fully set forth hereunder.

38. At all times relevant herein, Defendants violated Labor Code § 226, in that Defendants failed to properly and accurately itemize the number of hours worked by Plaintiff at the effective regular rates of pay and the effective overtime rates of pay.

39. Defendants and each of them, knowingly and intentionally failed to comply with Labor Code § 226, causing damages to Plaintiff. These damages, including but limited to costs expended calculating the true hours worked and the amount of employment taxes which were not properly paid to state and federal tax authorities, are difficult to estimate. Therefore, Plaintiff elects to recover liquidated

1 damages of \$50.00 for the initial pay period in which the violation occurred, and \$100.00 for each
 2 violation in subsequent pay periods pursuant to the Labor Code § 226, in an amount according to proof
 3 at the time of trial (but in no event more than \$4,000.00 for each respective Plaintiff herein) plus
 4 reasonable attorney's fees and costs pursuant to Labor Code § 226(g).

5 6 **FOURTH CAUSE OF ACTION**

7 **(Compensation for Required Meal Periods Not Provided – Lab. Code §§ 226.7, 512, and**
 8 **IWC Wage Orders – Against All Defendants)**

9 40. Plaintiff realleges and incorporates by reference under this cause of action each and every
 10 allegation made in each and every paragraph hereinabove, as if fully set forth hereunder.

11 41. Labor Code § 512, and all IWC Wage Orders applicable to Plaintiff's industry and
 12 occupation, generally require an employer to provide employees a meal period of not less than 30
 13 uninterrupted minutes for every five hours worked.

14 42. Plaintiff alleges on information and belief that Defendants and each of them violated Labor
 15 Code § 512, and all IWC Wage Orders applicable to Plaintiff's industry and occupation, by failing to
 16 provide Plaintiff the requisite 30-minute meal periods required by law throughout Plaintiff's respective
 17 employment.

18 43. Plaintiff alleges on information and belief that Defendants further violated Labor Code
 19 § 226.7, all IWC Wage Orders applicable to Plaintiff's industry and occupation, by failing to pay one
 20 hour of compensation at Plaintiff's regular rate of pay for each work day that the meal period was not
 21 provided, in amounts to be shown at the time of trial but believed to be in excess of \$2500.00. This
 22 amount is still owed and unpaid.

FIFTH CAUSE OF ACTION

(Compensation For Required Rest Periods Not Provided - Cal. Lab. Code §§ 226.7 and
IWC Wage Orders - Against All Defendants)

44. Plaintiff realleges and incorporates by reference under this cause of action each and every allegation made in each and every paragraph hereinabove, as if fully set forth hereunder.

45. Pursuant to Labor Code § 226.7, and all IWC Wage Orders applicable to Plaintiff's industry and occupation, Defendants were required to authorize and permit employees, such as Plaintiff herein, to take rest periods, based upon the total hours worked at a rate of ten (10) minutes net rest time per four (4) hours or major fraction thereof, with no deduction from wages.

46. Defendants failed and refused to authorize and permit Plaintiff to take ten (10) minute rest periods for every four (4) hours worked, or major fraction thereof, in violation of Labor Code § 226.7, and all IWC Wage Orders applicable to Plaintiff's industry and occupation.

47. Defendants further violated Labor Code § 226.7, all IWC Wage Orders applicable to Plaintiff's industry and occupation, by failing to pay Plaintiff according to law, in amounts according to proof at the time of trial, which amount remain owing and unpaid.

SIXTH CAUSE OF ACTION

(For Unfair Competition In Violation of Unfair Business Practices - Business & Professions Code §§ 17200-*et seq.* - By Plaintiff Acting Each Individually, and also For the Interests of the General Public - Against All Defendants)

48. Plaintiff realleges and incorporates by reference under this cause of action each and every allegation made in each and every paragraph hereinabove, as if fully set forth hereunder.

49. California Business & Professions Code §§ 17200-*et seq.* prohibit acts of unfair competition, which includes any "unlawful and unfair business practices."

50. Defendants' and each of their conduct, as alleged herein, has been and continues to be unfair, unlawful, and deleterious to Plaintiff herein, and to the general public. Plaintiff hereby seeks to enforce important rights affecting the public interest within the meaning of Code of Civil Procedure § 1021.5. Plaintiff is a "person" within the meaning of the Business & Professions Code § 17204, and

1 thus possesses standing to bring this suit for injunctive relief and restitution.

2 51. It is the policy of this State to enforce minimum labor standards, to ensure that employees
3 are not required or permitted to work under substandard and unlawful conditions, and to protect those
4 employers who comply with the law from losing competitive advantage to other employers who fail to
5 comply with labor standards and requirements.

6 52. Through the conduct alleged herein, Defendants acted contrary to these public policies and
7 have engaged in unlawful and/or unfair business practices in violation of Business & Professions Code
8 §§ 17200 et. seq., depriving Plaintiff herein, and other employees similarly situated, of the rights,
9 benefits, and privileges guaranteed to employees under California law.

10 53. Defendants, and each of them, regularly and routinely violated the following statutes and
11 regulations with respect to Plaintiff herein, and other employees similarly situated: Labor Code §§ 510
12 and 1194, and all IWC Wage Orders applicable to Plaintiff's industry and occupation (failure to pay
13 overtime pay); Labor Code § 226 (failing to provide accurate wage statements to employees at the time
14 of payment); and/or Labor Code §§ 226.7 and 512, and/or all IWC Wage Orders applicable to
15 Plaintiff's industry and occupation (failure to provide rest and meal periods).

16 54. By engaging in these business practices, which are unfair business practices within the
17 meaning of Business & Professions Code §§ 17200-et. seq., Defendants harmed Plaintiff herein, and
18 also similarly situated employees, and thus by that design and plan gained an unfair competitive edge in
19 the marketplace.

20 55. Under Business & Professions Code § 17203, Plaintiff is entitled to obtain restitution of
21 these funds on behalf of him/herself and other employees similarly affected by Defendants' unfair
22 business practices as enumerated herein.

23 56. Pursuant to Business & Professions Code § 17203, injunctive relief is necessary to prevent
24 Defendants from continuing to engage in the unfair business practices as alleged herein. Plaintiff is
25 informed and believes that Defendants, and persons acting in concert with them, have committed and
26 will continue to commit the above unlawful acts unless restrained or enjoined by this Court. Unless the
27 relief prayed for below is granted, a multiplicity of actions will result. Plaintiff and other interested
28 persons have no plain, speedy, or adequate remedy at law, in that pecuniary compensation would not

1 afford adequate and complete relief. The above-described acts will cause great and irreparable damage
 2 to Plaintiff and other interested persons, unless Defendants are restrained from committing further
 3 illegal acts.

4 57. Plaintiff's success in this action will result in the enforcement of important rights affecting
 5 the public and will confer a significant benefit upon the general public. Private enforcement of the
 6 rights enumerated in this complaint is necessary, as public agencies have only sought limited
 7 enforcement of those rights, if any. Plaintiff, individually and by and through counsel, has incurred
 8 and continues to incur a financial burden in pursuing this action on behalf of the general public.
 9 Plaintiff further seeks to enjoin the above-referenced unlawful actions under the Labor Code. Therefore,
 10 Plaintiff seeks an award of attorney's fees and costs of suit on this cause of action pursuant to Code of
 11 Civil Procedure § 1021.5 and other applicable Labor Code sections.

12 SEVENTH CAUSE OF ACTION

13 (For Failure To Produce Records- Cal. Labor Code § 1174.5 -

14 Against All Defendants)

15 58. Plaintiff realleges and incorporates by reference under this cause of action each and every
 16 allegation made in each and every paragraph hereinabove, as if fully set forth hereunder.

17 59. Labor Code § 1174.5 providing that any person employing labor who willfully fails to allow
 18 any member of the commission or employees to inspect records is in violation of Labor Code § 1174,
 19 Defendants' and each of their failure to do so subjects Defendants to liability as provided thereunder.

20 60. Section 1174.5 providing that those in violation of the code shall be subject to a civil
 21 penalty of seven hundred fifty dollars (\$750.00), Defendants and each of them are subject to said
 22 penalty, payable to Plaintiff herein.

23 61. In a letter dated APRIL 3, 2007 to KENNY GARRIDO, demand was made by Plaintiff for
 24 his employment records. Defendants having willfully failed to produce any of the requested records, as
 25 a legal and proximate result, Plaintiff is entitled to penalties in sums to be calculated and imposed by
 26 the Court at the time of trial in this matter. Defendants and each of them are in violation of Labor Code
 27 § 1174.5, and are thus liable jointly and severally liable for an additional \$750 penalty payable to
 28

1 Plaintiff.

2
3 **EIGHTH CAUSE OF ACTION**

4 **(Constructive Trust - Against All Defendant(s))**

5 62. Plaintiff realleges and incorporates by reference under this cause of action each and every
6 allegation made in each and every paragraph hereinabove, as if fully set forth hereunder.

7 63. During the course of the events detailed in this complaint, and arising out of the relationship
8 between employer and employee, as existed between Plaintiff and Defendants, and each of them, a
9 special relationship of trust arose between Plaintiff and Defendants, and each of Defendants.

10 64. Contrary to and in direct violation of that special relationship, and contrary to the duties of
11 trust and fidelity imposed on employers by California law, Defendants, and each of them, violated said
12 trust by retaining monies due to Plaintiff, at the occasion of each and every regular payday when
13 Plaintiff, relying on Defendants' and each of their loyalty, good faith, honesty and integrity, accepted
14 pay from Defendants, and each of them, which was less than the sums actually due Plaintiff for the time
15 worked during that pay period.

16 65. Thus, Defendants, and each of them, on the occasion of each and every payday when pay
17 was rendered to Plaintiff, did retain, convert and embezzle the monies rightfully due Plaintiff -
18 specifically, the sums due for overtime worked, but not paid for.

19 66. The true amount(s) of said monies due is/are amenable to proof at the time of trial. Plaintiff
20 has no adequate remedy at law to prevent Defendants, and each of them, from looting said funds from
21 the accounts of the other Defendants, and each of them, and thus prays this Court impose the equitable
22 remedy of constructive trust, in the sum of all monies due under this complaint, including overtime pay
23 for uncompensated time worked, interest, costs and attorneys' fees, on any and all funds, assets,
24 accounts, or other appropriate monies and things, with the beneficiary of said trust being Plaintiff.

25 67. The equities balance in favor of the imposition of such a constructive trust, since
26 Defendants, and each of them, with their superior bargaining power, economic resources and the
27 mechanisms of banking and finance, stand in a much more powerful position than Plaintiff, who has no
28 practical way to constrain or prevent Defendants from wasting, converting or further secreting said

1 assets, monies and things, than by this Court's imposition of said constructive trust.

2
3 WHEREFORE, Plaintiff HUERTA respectfully prays this Court enter judgment in favor of
4 Plaintiff HUERTA, and against Defendants, and each of them, as follows, on all of Plaintiff's causes of
5 action, as appropriate :

- 6
7 1. For back pay, front pay, and other Special Damages according to proof;
8 2. For general Damages as shall be shown at the time of trial;
9 3. For all statutory damages;
10 4. For restitution of all monies due to HUERTA, and disgorgement of profits from the unlawful
11 business practices of Defendants;
12 5. For punitive damages in the sound discretion of the Court;
13 6. For pre-judgment and post-judgment interest on all damages awarded;
14 7. For all penalties imposed as stipulated by the California Labor Code; including waiting time
15 penalties, pursuant to Labor Codes §§ 203 and 558;
16 8. For reasonable attorneys' fees, pursuant to Labor Code §§ 218.5, 2699, Code of Civil
17 Procedure §1021.5, Business & Professions Code § 17200-*et seq.*, and according to any other attorney-
18 fee statutes found by the Court to apply to the facts presented at trial;
19 9. For costs of suit incurred;
20 10. For compensation of one hour at the regular rate of pay for each meal period denied and
21 each rest period denied in violation of Labor Code § 226.7, 512 and all IWC Wage Orders applicable to
22 Plaintiff's industry and occupation, according to proof;
23 11. For a preliminary and permanent injunction ordering each and every Defendant to cease
24 such unlawful and unfair practices as alleged herein above and proved to the Court at the time of trial,
25 requiring the establishment of appropriate and effective means to prevent future violations;
26 12. For the imposition of a constructive trust against Defendants, and each of them, and such
27 appropriate preliminary injunction as the Court may order during the period before a final judgment is
28 entered in this matter, on such assets, monies and things, as Plaintiff shall show the Court at trial, or in

1 the case of any application for preliminary injunction, such assets, monies and things as Plaintiff shall
2 show the Court to exist at the time such *pendente lite* relief may be sought; AND

3 13. For such other and further relief as the Court deems just.

4
5 DATED: MAY 2, 2007

UNITED EMPLOYEES LAW GROUP, PC

6
7
8 By: 

9 Thomas K. Emmitt, Esq., Attorney for
10 Plaintiff RICK HUERTA
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

NOTICE OF CASE MANAGEMENT CONFERENCE

CV 4 83295

Rick Huerta

Case No. _____

SEP 26 2007

Date: _____

Time: 9:00 a.m.

vs.

Hana Depp

Dept. 3 - on Tuesday & Friday

Dept. 8 - on Wednesday & Thursday

You are hereby given notice of your Case Management Conference. The date, time and department have been written above.

1. In accordance with applicable California Rules of Court and Local Rules 2.3(d)1-4 and 2.3(m), you are hereby ordered to:
- Serve all named defendants and file proofs of service on those defendants with the court within 60 days of filing the complaint (CRC 3.110).
 - Serve a copy of this notice, Case Management Statement and ADR Information Sheet on all named parties in this action.
 - File and serve a completed Case Management Statement at least 15 days before the Case Management Conference [CRC 3.725]. Failure to do so may result in monetary sanctions.
 - Meet and confer, in person or by telephone, to consider each of the issues identified in CRC 3.724 no later than 30 days before the date set for the Case Management Conference.

2. If you fail to follow the orders above, you are ordered to show cause why you should not be sanctioned. The Order To Show Cause hearing will be at the same time as the Case Management Conference hearing. Sanctions may include monetary, evidentiary or issue sanctions as well as striking pleadings and/or dismissal.

3. Continuances of case management conferences are highly disfavored unless good cause is shown.
4. Parties may proceed to an appropriate dispute resolution process ("ADR") by filing a Stipulation To ADR and Proposed Order (see attached form.). If plaintiff files a Stipulation To ADR and Proposed Order electing to proceed to judicial arbitration, the Case Management Conference will be taken off the court calendar and the case will be referred to the Arbitration Administrator. If plaintiffs and defendants file a completed stipulation to another ADR process (e.g., mediation) 10 days prior to the first scheduled case management conference, the case management conference will be continued for 90 days to allow parties time to complete their ADR session. The court will notify parties of their new case management conference date.
5. If you have filed a default or a judgment has been entered, your case is not automatically taken off the Case Management Conference Calendar. If "Does", "Roes", etc. are named in your complaint, they must be dismissed in order to close the case. If any party is in bankruptcy, the case is stayed only as to that named party.
6. You are further ordered to appear in person* (or through your attorney of record) at the Case Management Conference noticed above. You must be thoroughly familiar with the case and fully authorized to proceed.
7. The Case Management judge will issue orders at the conclusion of the conference that may include:
- Referring parties to voluntary ADR and setting an ADR completion date;
 - Dismissing or severing claims or parties;
 - Setting a trial date.
8. The Case Management judge may be the trial judge in this case.

For further information regarding case management policies and procedures, see the court website at www.sanmateocourt.org.

* Telephonic appearances at case management conferences are available by contacting CourtCall, LLC, an independent vendor, at least 5 business days prior to the scheduled conference (see attached CourtCall information).

CEL-110

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar Number, and Address): TELEPHONE NO.: FAX NO. (Optional): E-MAIL ADDRESS (Optional): ATTORNEY FOR:		FOR COURT USE ONLY
SUPERIOR COURT OF CALIFORNIA, COUNTY OF STREET ADDRESS: Superior Court of California MAILING ADDRESS: County of San Mateo CITY AND ZIP CODE: 400 County Center BRANCH NAME: Redwood City, CA 94063-1855		
PLAINTIFF/PETITIONER: DEFENDANT/RESPONDENT:		CASE NUMBER
CASE MANAGEMENT STATEMENT (Check one): <input type="checkbox"/> UNLIMITED CASE (Amount demanded exceeds \$25,000) <input type="checkbox"/> LIMITED CASE (Amount demanded is \$25,000 or less)		
A CASE MANAGEMENT CONFERENCE is scheduled as follows: Date: Time: Dept.: Div.: Room: Address of court (if different from the address above):		

INSTRUCTIONS: All applicable boxes must be checked, and the specified information must be provided.

- Party or parties (answer one):
 - ☐ This statement is submitted by party (name):
 - ☐ This statement is submitted jointly by parties (names):
- Complaint and cross-complaint (to be answered by plaintiffs and cross-complainants only)
 - The complaint was filed on (date):
 - ☐ The cross-complaint, if any, was filed on (date):
- Service (to be answered by plaintiffs and cross-complainants only)
 - All parties named in the complaint and cross-complaint have been served, or have appeared, or have been dismissed.
 - The following parties named in the complaint or cross-complaint
 - ☐ have not been served (specify names and explain why not):
 - ☐ have been served but have not appeared and have not been dismissed (specify names):
 - ☐ have had a default entered against them (specify names):
 - The following additional parties may be added (specify names, nature of involvement in case, and the date by which they may be served):
- Description of case
 - Type of case in ☐ complaint ☐ cross-complaint (describe, including causes of action):

Form Approved for Mandatory Use
 Judicial Branch of California
 CEL-110 (Rev. January 1, 2007)

CASE MANAGEMENT STATEMENT

Page 1 of 4
 Case No. 07-00000
 Filed 07/09/2007
 www.courtinfo.ca.gov

CM-110

PLAINTIFF/PETITIONER:	CASE NUMBER:
DEFENDANT/RESPONDENT:	

10. d. The party or parties are willing to participate in (check all that apply):

- (1) ☐ Mediation
 (2) ☐ Nonbinding judicial arbitration under Code of Civil Procedure section 1141.12 (discovery to close 15 days before arbitration under Cal. Rules of Court, rule 3.822)
 (3) ☐ Nonbinding judicial arbitration under Code of Civil Procedure section 1141.12 (discovery to remain open until 30 days before trial; order required under Cal. Rules of Court, rule 3.822)
 (4) ☐ Binding judicial arbitration
 (5) ☐ Binding private arbitration
 (6) ☐ Neutral case evaluation
 (7) ☐ Other (specify):

- e. ☒ This matter is subject to mandatory judicial arbitration because the amount in controversy does not exceed the statutory limit.
 f. ☐ Plaintiff elects to refer this case to judicial arbitration and agrees to limit recovery to the amount specified in Code of Civil Procedure section 1141.11.
 g. ☐ This case is exempt from judicial arbitration under rule 3.811 of the California Rules of Court (specify exemption):

11. Settlement conference

- ☐ The party or parties are willing to participate in an early settlement conference (specify when):

12. Insurance

- a. ☐ Insurance carrier, if any, for party filing this statement (name):
 b. Reservation of rights: ☐ Yes ☐ No
 c. ☐ Coverage issues will significantly affect resolution of this case (explain):

13. Jurisdiction

- Indicate any matters that may affect the court's jurisdiction or processing of this case, and describe the status.
☐ Bankruptcy ☐ Other (specify):
 Status:

14. Related cases, consolidation, and coordination

- a. ☐ There are companion, underlying, or related cases.
 (1) Name of case:
 (2) Name of court:
 (3) Case number:
 (4) Status:
☐ Additional cases are described in Attachment 14a.
 b. ☐ A motion to ☐ consolidate ☐ coordinate will be filed by (name party):

15. Bifurcation

- ☐ The party or parties intend to file a motion for an order bifurcating, severing, or coordinating the following issues or causes of action (specify moving party, type of motion, and reasons):

16. Other motions

- ☐ The party or parties expect to file the following motions before trial (specify moving party, type of motion, and reasons):

CM-110 (Rev. January 1, 2007)

CASE MANAGEMENT STATEMENT

Page 2 of 4

Superior Court of California - County of San Mateo

ADR Stipulation and Evaluation Instructions

In accordance with *Local Rule 2.3(i)(3)*, all parties going to ADR must complete a Stipulation and Order to ADR and file it with the Clerk of the Superior Court. The Office of the Clerk is located at:

Clerk of the Superior Court, Civil Division
Attention: Case Management Conference Clerk
Superior Court of California, County of San Mateo
400 County Center
Redwood City, CA 94063-1635

There is no filing fee for filing the stipulation. An incomplete stipulation will be returned to the parties by the Clerk's Office. All stipulations must include the following:

- ☐ Original signatures for all attorneys (and/or parties in pro per);
- ☐ The name of the neutral;
- ☐ Date of the ADR session; and
- ☐ Service List (Counsel need not serve the stipulation on parties).

Parties mutually agree on a neutral and schedule ADR sessions directly with the neutral. If parties would like a copy of the San Mateo County Superior Court's ADR Panelist List and information sheets on individual panelists, they may go to the court's website (see below) or contact the ADR Coordinator at (650) 363-1962 or (650) 599-1070.

If Filing the Stipulation Prior to an Initial Case Management Conference

To stipulate to ADR prior to the initial case management conference, parties must file a completed stipulation at least 10 days before the scheduled case management conference. The clerk will send notice of a new case management conference date approximately 90 days from the current date to allow time for the ADR process to be completed.

If Filing Stipulation Following a Case Management Conference

When parties come to an agreement at a case management conference to utilize ADR, they have 21 days from the date of the case management conference to file a Stipulation and Order to ADR with the court [*Local Rule 2.3(i)(3)*].

Post-ADR Session Evaluations

Local Rule 2.3(i)(5) requires submission of post-ADR session evaluations within 10 days of completion of the ADR process. Evaluations are to be filled out by both attorneys and clients. A copy of the Evaluation By Attorneys and Client Evaluation are attached to the ADR Panelist List or can be found on the court's web site.

Non-Binding Judicial Arbitration

Names and dates are not needed for stipulations to judicial arbitration. The Judicial Arbitration Administrator will send a list of names to parties once a stipulation has been submitted. The Judicial Arbitration Administrator can be contacted at (650) 363-4896. For further information regarding San Mateo Superior Court's ADR program, contact the ADR offices at (650) 599-1070 or the court's ADR web site at <http://www.co.sanmateo.ca.us/sanmateocourts/adr.htm>.

SUPERIOR COURT OF CALIFORNIA - COUNTY OF SAN MATEO

APPROPRIATE DISPUTE RESOLUTION INFORMATION SHEET

In addition to the court provided voluntary and mandatory settlement conferences, this court has established, in partnership with the community and Bar Association, the Multi-Option ADR Project. Recognizing that many civil disputes can be resolved without the time and expense of traditional civil litigation, the San Mateo County Superior Court encourages the parties in civil cases to explore and pursue the use of Appropriate Dispute Resolution.

WHAT IS APPROPRIATE DISPUTE RESOLUTION?

Appropriate Dispute Resolution (ADR) is the general term applied to a wide variety of dispute resolution processes that are alternatives to lawsuits. Types of ADR processes include arbitration, mediation, neutral evaluation, mini-trials, settlement conferences, private judging, negotiation, and hybrids of these processes. All ADR processes offer a partial or complete alternative to traditional court litigation for resolving disputes.

WHAT ARE THE ADVANTAGES OF USING ADR?

ADR can have a number of advantages over traditional court litigation.

- ADR can save time. Even in a complex case, a dispute can be resolved through ADR in a matter of months or weeks, while a lawsuit can take years.
- ADR can save money. By producing earlier settlements, ADR can save parties and courts money that might otherwise be spent on litigation costs (attorney's fees and court expenses).
- ADR provides more participation. Parties have more opportunity with ADR to express their own interests and concerns, while litigation focuses exclusively on the parties' legal rights and responsibilities.
- ADR provides more control and flexibility. Parties can choose the ADR process most appropriate for their particular situation and that will best serve their particular needs.
- ADR can reduce stress and provide greater satisfaction. ADR encourages cooperation and communication, while discouraging the adversarial atmosphere found in litigation. Surveys of disputants who have gone through ADR have found that satisfaction with ADR is generally high, especially among those with extensive ADR experience.

Arbitration, Mediation, and Neutral Evaluation

Although there are many different types of ADR processes, the forms most commonly used to resolve disputes in California State courts are Arbitration, Mediation and Neutral Evaluation. The Multi-Option ADR Project a partnership of the Court, Bar and Community offers pre-screened panelists with specialized experience and training in each of these areas.

Arbitration An arbitrator hears evidence presented by the parties, makes legal rulings, determines facts and makes an arbitration award. Arbitration awards may be entered as judgments in accordance with the agreement of the parties or, where there is no agreement, in accordance with California statutes. Arbitrations can be

Attorney or Party without Attorney (Name, Address, Telephone, Fax, State Bar membership number):	Court Use Only
Superior Court of California, County of San Mateo Hall of Justice and Records 400 County Center Redwood City, CA 94063-1655 (650) 363-4711	
Plaintiff(s):	Case Number:
Defendant(s):	Current CMC Date:

STIPULATION AND ORDER TO APPROPRIATE DISPUTE RESOLUTION

Plaintiff will file this stipulation with the Clerk's Office 10 days prior to or 3 weeks following the first Case Management Conference unless directed otherwise by the Court and ADR Director [Local Rule 2.3(i)(3)]. Please attach a Service List.

The parties hereby stipulate that all claims in this action shall be submitted to (select one):

- ☐ Voluntary Mediation
☐ Neutral Evaluation
☐ Non-Binding Judicial Arbitration CCP 1141.12
☐ Other: _____
- ☐ Binding Arbitration (private)
☐ Settlement Conference (private)
☐ Summary Jury Trial

Case Type: _____

Neutral's name and telephone number: _____

Date of session: _____

(Required for continuance of CMC except for non-binding judicial arbitration)

Identify by name the parties to attend ADR session: _____

ORIGINAL SIGNATURES

Type or print name of ☐ Party without attorney

☐ Attorney for (Signature)

☐ Plaintiff/Petitioner ☐ Defendant/Respondent/Contestant

Attorney or Party without attorney

Type or print name of ☐ Party without attorney

☐ Attorney for (Signature)

☐ Plaintiff/Petitioner ☐ Defendant/Respondent/Contestant

Attorney or Party without attorney

Attorney or Party without Attorney (Name, Address, Telephone, Fax, State Bar membership number):	Court Use Only
Superior Court of California, County of San Mateo Hall of Justice and Records 400 County Center Redwood City, CA 94063-1655 (650) 363-4711	
Plaintiff(s):	Case Number:
Defendant(s):	Current CMC Date:

STIPULATION AND ORDER TO APPROPRIATE DISPUTE RESOLUTION

Plaintiff will file this stipulation with the Clerk's Office 10 days prior to or 3 weeks following the first Case Management Conference unless directed otherwise by the Court and ADR Director [Local Rule 2.3(i)(3)]. Please attach a Service List.

The parties hereby stipulate that all claims in this action shall be submitted to (select one):

- ☐ Voluntary Mediation
☐ Neutral Evaluation
☐ Non-Binding Judicial Arbitration CCP 1141.12
☐ Other: _____
- ☐ Binding Arbitration (private)
☐ Settlement Conference (private)
☐ Summary Jury Trial

Case Types

Neutral's name and telephone number:

Date of session:

Date of session: _____
(Required for continuance of CMC except for non-binding judicial arbitration)

Identify by name the parties to attend ADR session:

ORIGINAL SIGNATURES

Type or print name of ☐ Party without attorney☐ Plaintiff/Petitioner ☐ Defendant/Respondent/Contestant

☐ Attorney for (Signature)

Attorney or Party without attorney

Type or print name of ☐ Party without attorney

☐ Plaintiff/Petitioner ☒ Defendant/Respondent/Contestant

☐ Attorney for (Signature)

Attorney or Party without attorney

STIPULATION AND ORDER TO APPROPRIATE DISPUTE RESOLUTION

Type or print name of ☐ Party without attorney.

☐ Plaintiff/Petitioner ☐ Defendant/Respondent/Contestant

☐ Attorney for (Signature)

Attorney or Party without attorney

Type or print name of ☐ Party without attorney

☐ Plaintiff/Petitioner ☐ Defendant/Respondent/Contestant

☐ Attorney for (Signature)

Attorney or Party without attorney

IT IS SO ORDERED:

DATE:

JUDGE OF THE SUPERIOR COURT OF SAN MATEO COUNTY

Superior Court of California, County of San Mateo

**DIVISION II
COURT MANAGEMENT - SUPERIOR COURT
CHAPTER 1. FORM AND SERVICE OF PAPERS**

Rule 2.0 Transfer of Court-Related Functions of the County Clerk to the Superior Court

Pursuant to the authority contained in Government Code section 69898, the court hereby transfers from the County Clerk to the Superior Court Executive Officer, under the direction of the Presiding Judge, all of the powers, duties, and responsibilities required or permitted to be executed or performed by the County Clerk in connection with judicial actions proceedings, and records.

(Adopted, effective July 1, 1996.)

Rule 2.1 Form of Papers Presented for Filing

Reference, CRC, rule 2.100, et seq.

(Adopted, effective July 1, 1996) (Amended effective January 1, 2000) (Amended, effective January 1, 2007)

Rule 2.1.1 Citations to Non-California Authorities.

(Adopted, effective July 1, 1996)(Repealed, effective January 1, 1999)

Rule 2.1.2 Requests for Judicial Notice

(Adopted, effective July 1, 1996)(Repealed, effective January 1, 1999)

Rule 2.1.3 California Environmental Quality Act (CEQA)

If a petition for writ of mandate includes claims under CEQA (Public Resources Code section 21000 et. seq.), the case will be assigned to a judge designated to hear CEQA actions pursuant to Public Resources Code section 21167.1. Plaintiff shall identify the petition as being filed pursuant to "CEQA" on the face of the petition.

(Adopted, effective January 1, 1999)(renumbered from 2.1.4 effective January 1, 2000)

Rule 2.1.4 Documents Produced Through a Nonparty

If a party proposes to obtain documents in the custody of a nonparty, as by a subpoena duces tecum, and such documents may be produced by certification or otherwise in lieu of personal appearance by a witness custodian, the request for such documents should specify that they be delivered not later than the first day for which the trial is calendared.

(Adopted, effective January 1, 2000)

Superior Court of California, County of San Mateo

(c) Cases filed after July 1, 1992

Upon the filing of a complaint after July 1, 1992, the case shall be subject to all of the civil case management system rules set forth below. Cases filed before July 1, 1992 shall also be subject to these rules except for subsection (d) (Filing and service of pleadings; exceptions).

(d) Filing and service of pleadings; exceptions.

(1) Complaint: Except as provided in paragraph 5 below, plaintiff shall within 60 days after filing of the complaint serve the complaint on each defendant along with:

- (A) A blank copy of the Judicial Council Case Management Statement;
- (B) A copy of Local Rule 2.3;
- (C) The Notice of Case Management Conference.

If a matter has been submitted to arbitration pursuant to uninsured motorist insurance, the plaintiff shall file a notice to that effect with the court at the time of filing the complaint, or at the time the matter is submitted. The notice shall include the name, address and telephone number of the insurance company, along with the claim number or other designation under which the matter is being processed.

(2) Cross-complaint: Except as provided in paragraph 5 below, each defendant shall within 30 days after answering the complaint file any cross-complaint (within 50 days if compliance with a governmental claims statute is a prerequisite to the cross-complaint) not already served with the answer under Code of Civil Procedure section. 428.50 and serve with that cross-complaint:

- (A) A blank copy of the Judicial Council Case Management Statement;
- (B) A copy of Local Rule 2.3;
- (C) The Notice of Case Management Conference.

(3) Responsive pleadings: Except as provided in paragraph 5 below, each party served with a complaint or cross-complaint shall file and serve a response within 30 days after service. The parties may by written agreement stipulate to one 15-day extension to respond to a complaint or cross-complaint.

If the responsive pleading is a demurrer, motion to strike, motion to quash service of process, motion for a change of venue or a motion to stay or dismiss the case on forum non conveniens grounds, and the demurrer is overruled or the motion denied, a further responsive pleading shall be filed within 10 days following notice of the ruling unless otherwise ordered. If a demurrer is sustained or a motion to strike is granted with leave to amend, an amended complaint shall be filed within 10 days following notice of the ruling unless otherwise ordered. The court may fix a time for filing pleadings responsive to such amended complaint.

(4) Proofs of service: Proofs of service must be filed at least 10 calendar days before the case management conference.

(5) Exceptions for longer periods of time to serve or respond:

Superior Court of California, County of San Diego

specified, relief from the scheduled trial date may not be obtained based upon the ground that counsel is engaged elsewhere.

(7) Conference orders: At the initial conference, the program judge will make appropriate pre-trial orders that may include the following:

- (A) An order referring the case to arbitration, mediation or other dispute resolution process;
- (B) An order transferring the case to the limited jurisdiction of the superior court;
- (C) An order assigning a trial date;
- (D) An order identifying the case as one which may be protracted and determining what special administrative and judicial attention may be appropriate, including special assignment;
- (E) An order identifying the case as one which may be amenable to early settlement or other alternative disposition technique;
- (F) An order of discovery, including but not limited to establishing a discovery schedule, assignment to a discovery referee, and/or establishing a discovery cut-off date;
- (G) An order scheduling the exchange of expert witness information;
- (H) An order assigning a mandatory settlement conference date pursuant to Local Rule 2.3(k) and 2.4; and
- (I) Other orders to achieve the interests of justice and the timely disposition of the case.

(8) CourtCall Telephonic Appearances

(A) Reference CRC, Rule 3.670

(B) Procedure. Telephonic appearances through the use of CourtCall, an independent vendor, are permitted at case management conference hearings. A party wishing to make a telephone appearance must serve and file a Request for Telephone Appearance Form with CourtCall not less than five court days prior to the case management conference hearing. Copies of the Request for CourtCall Appearance form and accompanying information sheet are available in the Clerk's office. There is a fee to parties for each CourtCall appearance and fees are paid directly to CourtCall. CourtCall will fax confirmation of the request to parties.

(C) On the day of the case management conference hearing, counsel and parties appearing by CourtCall must check-in five minutes prior to the hearing. Check-in is accomplished by dialing the courtroom's dedicated toll-free teleconference number and access code that will be provided by CourtCall in the confirmation. Any attorney or party calling after the check-in period shall be considered late for the hearing and shall be treated in the same manner as if the person had personally appeared late for the hearing.

2007 Div 4 - Rules

204

Revised 1/1/2007

where there is a contrary agreement by the parties.

(9) Setting Short Cause Matters

If the parties agree that the time estimated for trial is 5 hours or less prior to the conference, a written stipulation shall be filed at least 10 calendar days before the case management conference in order to avoid the need to appear at that conference and a copy immediately sent to the Master Calendar Coordinator. In the absence of a stipulation, either party may file a motion to have the matter designated a "short cause" and set the case accordingly. All such matters shall be presumed short cause unless the contrary is established at the hearing on the motion.

(k) Law and Motion

All law and motion matters shall be heard by the regularly assigned Law and Motion Judge.

(l) Settlement Conferences

All cases not assigned to arbitration or some other dispute resolution mechanism will be assigned two settlement conference dates, the first of which will be at the earliest practicable date under the circumstances presented by the case, and the second within approximately two

2007 Div 11 - Rules

206

Revised 1/1/2007

Superior Court of California, County of San Mateo

C. Application to Designate or Counter-Designate an Action as a Complex Case.

Any party who files either a Civil Case Cover Sheet (pursuant to California Rules of Court, Rule 3.401) or a counter or joinder Civil Case Cover Sheet (pursuant to California Rules of Court, Rule 3.402, subdivision (b) or (c)), designating an action as a complex case in Items 1, 2 and/or 5, must also file an accompanying Certificate Re: Complex Case Designation in the form prescribed by the Court. The certificate must include supporting information showing a reasonable basis for the complex case designation being sought. Such supporting information may include, without limitation, a brief description of the following factors as they pertain to the particular action:

- (1) Management of a large number of separately represented parties;
- (2) Complexity of anticipated factual and/or legal issues;
- (3) Numerous pretrial motions that will be time-consuming to resolve;
- (4) Management of a large number of witnesses or a substantial amount of documentary evidence;
- (5) Coordination with related actions pending in one or more courts in other counties, states or countries or in a federal court;
- (6) Whether or not certification of a putative class action will in fact be pursued; and
- (7) Substantial post-judgment judicial supervision.

A copy of the Certificate Re: Complex Case Designation must be served on all opposing parties. Any certificate filed by a plaintiff shall be served along with the initial service of copies of the Civil Case Cover Sheet (pursuant to California Rules of Court, Rule 3.401), summons, and complaint in the action. Any certificate filed by a defendant shall be served together with the service of copies of the counter or joinder Civil Case Cover Sheet (pursuant to California Rules of Court, Rule 3.402, subdivision (b) or (c)) and the initial first appearance pleading(s).

D. Noncomplex Counter-Designation.

If a Civil Case Cover Sheet designating an action as a complex case and the accompanying Certificate Re: Complex Case Designation has been filed and served and the Court has not previously declared the action to be a complex case, a defendant may file and serve no later than its first appearance a counter Civil Case Cover Sheet designating the action as not a complex case. Any defendant who files such a noncomplex counter-designation must also file and serve an accompanying Certificate Re: Complex Case Designation in the form prescribed by this Court and setting forth supporting information showing a reasonable basis for the noncomplex counter-designation being sought.

Once the Court has declared the action to be a complex case, any party seeking the Presiding Judge's decision that the action is not a complex case must file a noticed motion pursuant to Section H below.

E. Decision by Presiding Judge on Complex Case Designation; Early Status Conference.

If a Civil Case Cover Sheet designating an action as a complex case and the accompanying Certificate Re: Complex Case Designation have been filed and served, the Presiding Judge shall decide as soon as reasonably practicable, with or without a hearing, whether the action is a complex case and should be assigned to a single judge for all purposes.

Upon the filing of a Civil Case Cover Sheet designating an action as a complex case and the accompanying Certificate Re: Complex Case Designation, the Clerk of the Court shall set a status conference at which the Presiding Judge shall decide whether or not the action is a complex case. This status conference shall be held no later than (a) 60 days after the filing of a Civil Case Cover Sheet by a plaintiff (pursuant to California Rules of Court, Rule 3.401) or (b) 30 days after the filing of a counter

CM-010

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, state bar number, and address): Thomas K. Emmitt, State Bar No. 207504 65 Pine Avenue, # 312 Long Beach, California 90802 TELEPHONE NO.: (562) 256-1047 FAX NO.: (562) 256-1006 ATTORNEY FOR (Name): Plaintiff (Rick Huerta) SUPERIOR COURT OF CALIFORNIA, COUNTY OF Kern STREET ADDRESS: 400 County Center MAILING ADDRESS: CITY AND ZIP CODE: Redwood City, CA 94063-1655 BRANCH NAME: Hall of Justice		FOR COURT USE ONLY RECEIVED MAY 25 2007 SUPERIOR COURT CIVIL DIVISION
CASE NAME: Rick Huerta v. Home Depot U.S.A Inc.		
CIVIL CASE COVER SHEET <input checked="" type="checkbox"/> Unlimited (Amount demanded exceeds \$25,000) <input type="checkbox"/> Limited (Amount demanded is \$25,000 or less)	Complex Case Designation <input type="checkbox"/> Counter <input type="checkbox"/> Joinder Filed with first appearance by defendant (Cal. Rules of Court, rule 1811)	CASE NUMBER: CV 463295 JUDGE: DEPT.:

All five (5) items below must be completed (see instructions on page 2).

1. Check one box below for the case type that best describes this case:

Auto Tort <input type="checkbox"/> Auto (22) <input type="checkbox"/> Uninsured motorist (46) Other P/VPD/WD (Personal Injury/Property Damage/Wrongful Death) Tort <input type="checkbox"/> Asbestos (04) <input type="checkbox"/> Product liability (24) <input type="checkbox"/> Medical malpractice (45) <input type="checkbox"/> Other P/VPD/WD (23) Non-P/VPD/WD (Other) Tort <input type="checkbox"/> Business tort/unfair business practice (07) <input type="checkbox"/> Civil rights (08) <input type="checkbox"/> Defamation (13) <input type="checkbox"/> Fraud (16) <input type="checkbox"/> Intellectual property (19) <input type="checkbox"/> Professional negligence (25) <input type="checkbox"/> Other non-P/VPD/WD tort (35) Employment <input type="checkbox"/> Wrongful termination (35) <input checked="" type="checkbox"/> Other employment (15)	Contract <input type="checkbox"/> Breach of contract/warranty (06) <input type="checkbox"/> Collections (08) <input type="checkbox"/> Insurance coverage (18) <input type="checkbox"/> Other contract (37) Real Property <input type="checkbox"/> Eminent domain/inverse condemnation (14) <input type="checkbox"/> Wrongful eviction (33) <input type="checkbox"/> Other real property (26) Unlawful Detainer <input type="checkbox"/> Commercial (31) <input type="checkbox"/> Residential (32) <input type="checkbox"/> Drugs (38) Judicial Review <input type="checkbox"/> Asset forfeiture (05) <input type="checkbox"/> Petition re: arbitration award (11) <input type="checkbox"/> Writ of mandate (02) <input type="checkbox"/> Other judicial review (30)	Provisionally Complex Civil Litigation (Cal. Rules of Court, rules 1800-1812) <input type="checkbox"/> Antitrust/Trade regulation (03) <input type="checkbox"/> Construction defect (10) <input type="checkbox"/> Mass tort (40) <input type="checkbox"/> Securities litigation (28) <input type="checkbox"/> Environmental/Toxic tort (30) <input type="checkbox"/> Insurance coverage claims arising from the above listed provisionally complex case types (41) Enforcement of Judgment <input type="checkbox"/> Enforcement of judgment (20) Miscellaneous Civil Complaint <input type="checkbox"/> RICO (27) <input type="checkbox"/> Other complaint (not specified above) (42) Miscellaneous Civil Petition <input type="checkbox"/> Partnership and corporate governance (21) <input type="checkbox"/> Other petition (not specified above) (43)
---	--	---

2. This case ☐ is ☒ is not complex under rule 1800 of the California Rules of Court. If the case is complex, mark the factors requiring exceptional judicial management:
- | | |
|--|---|
| a. <input type="checkbox"/> Large number of separately represented parties | d. <input type="checkbox"/> Large number of witnesses |
| b. <input type="checkbox"/> Extensive motion practice raising difficult or novel issues that will be time-consuming to resolve | e. <input type="checkbox"/> Coordination with related actions pending in one or more courts in other counties, states or countries, or in a federal court |
| c. <input type="checkbox"/> Substantial amount of documentary evidence | f. <input type="checkbox"/> Substantial post-judgment judicial supervision |
3. Type of remedies sought (check all that apply):
 a. ☒ monetary b. ☒ nonmonetary; declaratory or injunctive relief c. ☒ punitive
4. Number of causes of action (specify):
5. This case ☐ is ☒ is not a class action suit.
- Date: May 08, 2007

Thomas K. Emmitt

(TYPE OR PRINT NAME)

(SIGNATURE OF PARTY OR ATTORNEY FOR PARTY)

NOTICE

- Plaintiff must file this cover sheet with the first paper filed in the action or proceeding (except small claims cases or cases filed under the Probate, Family, or Welfare and Institutions Code). (Cal. Rules of Court, rule 201.8.) Failure to file may result in sanctions.
- File this cover sheet in addition to any cover sheet required by local court rule.
- If this case is complex under rule 1800 et seq. of the California Rules of Court, you must serve a copy of this cover sheet on all other parties to the action or proceeding.
- Unless this is a complex case, this cover sheet will be used for statistical purposes only.

Page 1 of 2

 Form Adopted for Mandatory Use
 Judicial Council of California
 CM-010 (Rev. July 1, 2003)

CIVIL CASE COVER SHEET

 Cal. Rules of Court, rules 201.8, 1800-1812;
 Standards of Judicial Administration, § 10
 www.judbranch.com



CORPORATION SERVICE COMPANY®

Notice of Service of Process

KUB / ALL
Transmittal Number: 5183031
Date Processed: 06/07/2007

Primary Contact: Nancy Bunker
The Home Depot, Inc.
2455 Paces Ferry Rd SE
Atlanta, GA 30339-1834

Copy of transmittal only provided to: Guinnessa Malcolm

Entity:	Home Depot U.S.A., Inc. Entity ID Number 2483807
Entity Served:	Home Depot U.S.A., Inc.
Title of Action:	Rick Huerta vs. Home Depot U.S.A. Inc.
Document(s) Type:	Summons/Complaint
Nature of Action:	Labor / Employment
Court:	San Mateo Superior Court, California
Case Number:	CIV 463295
Jurisdiction Served:	California
Date Served on CSC:	06/07/2007
Answer or Appearance Due:	30 Days
Originally Served On:	CSC
How Served:	Personal Service
Plaintiff's Attorney:	Thomas K Emmitt 562-256-1047

Information contained on this transmittal form is for record keeping, notification and forwarding the attached document(s). It does not constitute a legal opinion. The recipient is responsible for interpreting the documents and taking appropriate action.

To avoid potential delay, please do not send your response to CSC
CSC is SAS70 Type II certified for its Litigation Management System.
2711 Centerville Road Wilmington, DE 19808 (888) 690-2882 | sop@cscinfo.com

Rcvd. Legal Dept

JUN 11 2007

By McDearis to Lim

PROOF OF SERVICE

Rick Huerta v. The Home Depot et al.

STATE OF CALIFORNIA, COUNTY OF SAN FRANCISCO

I am employed in the County of San Francisco, State of California. I am over the age of 18 years and am not a party to the within action; my business address is One Embarcadero Center, Suite 2300, San Francisco, CA 94111.

On July 6, 2007, I served the following document(s) described as PETITION AND NOTICE OF REMOVAL OF CIVIL ACTION UNDER 28 U.S.C., SECTIONS 1332 AND 1441(b) on interested parties in this action by placing a true copy thereof enclosed in sealed envelopes as follows:

UNITED EMPLOYEES LAW
GROUP, PC
Walter L. Haines, Esq.
Thomas K. Emmitt, Esq.
65 Pine Ave., Suite 312
Long Beach, CA 90802

Attorneys For Plaintiff
Rick Huerta

☒ **(BY U.S. Mail)** I am readily familiar with my employer's business practice for collection and processing of correspondence for mailing with the United States Postal Service. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter is more than one day after date of deposit for mailing in affidavit. I deposited such envelope(s) with postage thereon fully prepaid to be placed in the United States Mail at San Francisco, California.

☐ **(By Personal Service)** I delivered by hand on the interested parties in this action by placing the above mentioned document(s) thereof in envelope addressed to the office of the addressee(s) listed above or on attached sheet.

☐ **(By Facsimile)** I served a true and correct copy by facsimile pursuant to C.C.P. 1013(e), calling for agreement and written confirmation of that agreement or court order, to the number(s) listed above or on attached sheet. Said transmission was reported complete and without error.

☐ **(By Overnight Courier)** served the above referenced document(s) enclosed in a sealed package, for collection and for delivery marked for next day delivery in the ordinary course of business, addressed to the office of the addressee(s) listed above or on attached sheet.

☐ **(By E-Mail)** I transmitted a copy of the foregoing documents(s) via e-mail to the addressee(s).

☒ **(FEDERAL)** I declare that I am employed in the office of a member of the bar of this court at whose direction the service was made.

Executed on July 6, 2007, at San Francisco, California.

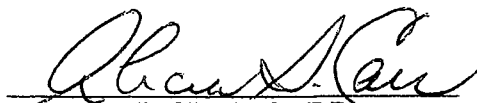

ALICIA S. CARR

EXHIBIT B

PAYNE & FEARS LLP
Attorneys at Law
James T. Conley, Bar No. 224174
One Embarcadero Center, Suite 2300
San Francisco, CA 94111
Telephone: (415) 398-7860
Facsimile: (415) 398-7863

ENDORSED FILED
SAN MATEO COUNTY

JUL 05 2007

Clerk of the Superior Court
By DANIEL SHEA
DEPUTY CLERK

PAYNE & FEARS LLP
Attorneys at Law
Andrew J. Jarmillo, Bar No. 198303
4 Park Plaza, Suite 1100
Irvine, CA 92614
Telephone: (949) 851-1100
Facsimile: (949) 851-1212

Attorneys for Defendant
HOME DEPOT U.S.A., INC. (erroneously sued herein as
The Home Depot)

SUPERIOR COURT OF THE STATE OF CALIFORNIA

COUNTY OF SAN MATEO

RICK HUERTA

Plaintiff,

v.

HOME DEPOT U.S.A., INC. a Delaware
corporation doing business in California; and
DOES 1-25, inclusive

Defendants.

CASE NO. CIV463295

**DEFENDANT HOME DEPOT, U.S.A.,
INC.'S ANSWER TO PLAINTIFF RICK
HUERTA'S COMPLAINT**

I.

GENERAL AND SPECIFIC DENIALS

Pursuant to Code of Civil Procedure section 431.30, subdivision (d), defendant Home Depot. ("Home Depot" or "Defendant"), denies, generally and specifically, each and every allegation contained in the Complaint for Damages (the "Complaint") and filed herein by plaintiff Rick Huerta ("Plaintiff"). Home Depot further denies, generally and specifically, that Plaintiff has been damaged in any sum, or at all, by reason of any act or omission on the part of Home Depot, or on the part of any agent or employee of Home Depot.

Exhibit A, Pg. 43

1 Answering Plaintiff's prayer for relief, and all paragraphs contained therein, Home Depot
2 denies, both generally and specifically, that Plaintiff is entitled to any relief or remedy whatsoever.

3
4 **AFFIRMATIVE DEFENSES**

5
6 As for its separate affirmative defenses to the Verified Complaint and to each cause of
7 action alleged therein, Home Depot pleads as follows:

8
9 **FIRST AFFIRMATIVE DEFENSE**

10 **(Failure to State a Cause of Action)**

11
12 1. The Complaint and each cause of action purportedly contained therein fails
13 to state facts sufficient to constitute a cause of action upon which relief may be granted.

14
15 **SECOND AFFIRMATIVE DEFENSE**

16 **(Legitimate, Non-Discriminatory Business Reason)**

17
18 2. Plaintiff's causes of action are barred, in whole or in part, because each
19 employment action of which Plaintiff complains, if it occurred, was taken for legitimate business
20 reasons that did not violate public policy or any statutory prohibition.

21
22 **THIRD AFFIRMATIVE DEFENSE**

23 **(No Liability for Hours Not Worked)**

24
25 3. Plaintiff's claims for unpaid wages are barred, in whole or in part, on the
26 ground that Plaintiff is not entitled to compensation for hours not constituting working time or
27 hours worked under California law.

28 **Exhibit A, Pg. 44**

FOURTH AFFIRMATIVE DEFENSE

(Exempt Level Employee)

4. Plaintiff's causes of action are barred, in whole or in part, because Plaintiff was properly compensated as an exempt level employee pursuant to the requirements of the California Labor Code and the applicable Wage Order of the California Industrial Welfare Commission.

FIFTH AFFIRMATIVE DEFENSE

(Failure to Exhaust Administrative Remedies)

5. Plaintiff's causes of action are barred, in whole or in part, because Plaintiff failed to exhaust her administrative remedies.

SIXTH AFFIRMATIVE DEFENSE

(Unclean Hands)

6. Plaintiff's causes of action are barred, in whole or in part, pursuant to the doctrine of "unclean hands" because Plaintiff engaged in conduct which proximately caused or contributed to any and all injuries Plaintiff allegedly suffered.

SEVENTH AFFIRMATIVE DEFENSE

(Facts Alleged Insufficient to Support Claim for Punitive Damages)

7. Plaintiff is not entitled to recover punitive or exemplary damages because Plaintiff has failed to allege facts sufficient to state a claim for punitive or exemplary damages or to show that any Defendant engaged in oppressive, fraudulent or malicious conduct.

Exhibit A, Pg. 45

EIGHTH AFFIRMATIVE DEFENSE

(No Punitive Damages Against Defendant Home Depot)

8. Plaintiff is not entitled to recover punitive or exemplary damages from Defendant Home Depot for the alleged acts referred to in the Complaint on the grounds that said acts, if any, were performed by an employee of Home Depot and that none of Home Depot's officers, directors or managing agents committed the alleged acts, nor authorized them, nor ratified them, nor did Home Depot or its officers, directors or managing agents have advance knowledge of the unfitness, if any, of the employee or employees who allegedly committed said acts, nor did Home Depot employ said employee with a conscious disregard of the rights or safety of others. CAL. CIV. CODE § 3294.

NINTH AFFIRMATIVE DEFENSE

(Punitive Damages Unconstitutional In This Action)

9. Plaintiff is not entitled to recover any punitive or exemplary damages as prayed for in the Complaint on the grounds that any award of punitive or exemplary damages under California law as applied to the facts of this specific action would violate Defendant's constitutional rights under provisions of the United States and California Constitutions, including, but not limited to, the due process clauses of the Fifth and Fourteenth Amendments of the United States Constitution.

TENTH AFFIRMATIVE DEFENSE

(Bad Faith and Frivolous Action)

10. The Complaint, and each alleged cause of action contained therein, was brought by Plaintiff in bad faith and is frivolous and by reason of the conduct stated herein, Defendant is entitled to, and will seek, reasonable expenses, including attorneys' fees, incurred in

defending this action pursuant to California Civil Procedure Code Section 128.6.

ELEVENTH AFFIRMATIVE DEFENSE

(Statutes of Limitations)

11. The Complaint, and each alleged cause of action contained therein, is barred by the applicable statutes of limitations, including, but not limited to, California Code of Civil Procedure sections 337(1), 338 subdivision (a), 339(1), 340 subdivision (a), and 343; and Cal. Bus. & Prof. Code § 17208.

TWELFTH AFFIRMATIVE DEFENSE

(Estoppel)

12. Plaintiff is estopped, by reason of her conduct and actions, from asserting each and any of her alleged claims herein.

THIRTEENTH AFFIRMATIVE DEFENSE

(Laches)

13. The Complaint, and each alleged cause of action contained therein, is barred by the doctrine of laches.

FOURTEENTH AFFIRMATIVE DEFENSE

(Waiver)

14. Plaintiff has waived the right (if any), by reason of her conduct and actions, to assert her alleged claims herein.

Exhibit A, Pg. 47

FIFTEENTH AFFIRMATIVE DEFENSE

(Complaint Insufficient for Damages Award)

15. Plaintiff's Complaint fails to state facts sufficient to entitle Plaintiff to any award of damages.

SIXTEENTH AFFIRMATIVE DEFENSE

(Labor Code Penalties Not Recoverable by Private Litigants)

16. Plaintiff's Complaint seeking civil penalties for violations of certain provisions under the California Labor Code are not recoverable by private litigants, including, among others, civil penalties sought under California Labor Code Section 558.

SEVENTEENTH AFFIRMATIVE DEFENSE

(No Showing of Unfair Competition)

17. Plaintiff's cause of action under California Business & Professions Code § 17200, *et. seq.*, is barred because Plaintiff cannot show an injury to competition and/or unfair business practice, as distinguished from an alleged injury to herself.

EIGHTEENTH AFFIRMATIVE DEFENSE

(Set Off)

18. If Plaintiff has any damages, which is denied, Defendant is entitled to a set-off for all compensation or benefits Plaintiff would not otherwise have received if Plaintiff was classified as a non-exempt employee.

Exhibit A, Pg. 48

NINETEENTH AFFIRMATIVE DEFENSE

(Right to Assert Additional Affirmative Defenses as Facts are Developed)

19. Defendant cannot fully anticipate all defenses that may be applicable. Accordingly, Home Depot reserves the right to assert additional affirmative defenses if, and to the extent, any such affirmative defenses are later discovered or are found to be applicable.

WHEREFORE, Defendant prays for judgment as follows:

1. That judgment be entered in favor of Defendant and against Plaintiff;
2. That the Complaint herein be dismissed in its entirety with prejudice;
3. That Defendant be awarded its costs of suit herein;
4. That Defendant be awarded reasonable attorneys' fees as determined by the Court pursuant to the law; and
5. For such other and further relief as the Court deems just and proper.

DATED: July 5, 2007

PAYNE & FEARS LLP

By: 

JAMES T. CONLEY

Attorneys for Defendant
HOME DEPOT U.S.A., INC. (erroneously sued herein
as The Home Depot)

360783.1

Exhibit A, Pg. 49

PROOF OF SERVICE

RICH HUERTA v. HOME DEPOT U.S.A., INC. ET AL

STATE OF CALIFORNIA, COUNTY OF SAN FRANCISCO

I am employed in the County of San Francisco, State of California. I am over the age of 18 years and am not a party to the within action; my business address is One Embarcadero Center, Suite 2300, San Francisco, CA 94111.

On July 5, 2007, I served the following document(s) described as DEFENDANT HOME DEPOT, U.S.A., INC.'S ANSWER TO PLAINTIFF RICK HUERTA'S COMPLAINT on interested parties in this action by placing a true copy thereof enclosed in sealed envelopes as follows:

UNITED EMPLOYEES LAW
GROUP, P.C.

Attorneys For Plaintiff

WALTER L. Haines, Esq.
Thomas K. Emmitt, Esq.
65 Pine Avenue, Suite 312
Long Beach, CA 90802
Tele: (562) 256-1047
Fax: (562) 256-1006

☒ **(BY U.S. Mail)** I am readily familiar with my employer's business practice for collection and processing of correspondence for mailing with the United States Postal Service. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter is more than one day after date of deposit for mailing in affidavit. I deposited such envelope(s) with postage thereon fully prepaid to be placed in the United States Mail at San Francisco, California.

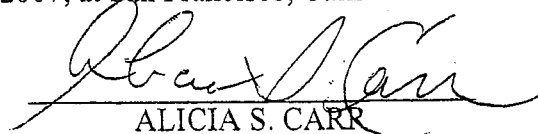
☐ **(By Personal Service)** I delivered by hand on the interested parties in this action by placing the above mentioned document(s) thereof in envelope addressed to the office of the addressee(s) listed above or on attached sheet.

☐ **(By Facsimile)** I served a true and correct copy by facsimile pursuant to C.C.P. 1013(e), calling for agreement and written confirmation of that agreement or court order, to the number(s) listed above or on attached sheet. Said transmission was reported complete and without error.

☐ **(By Overnight Courier)** served the above referenced document(s) enclosed in a sealed package, for collection and for delivery marked for next day delivery in the ordinary course of business, addressed to the office of the addressee(s) listed above or on attached sheet.

☐ **(By E-Mail)** I transmitted a copy of the foregoing documents(s) via e-mail to the addressee(s).

☒ **(STATE)** I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed on July 5, 2007, at San Francisco, California.


ALICIA S. CARR